

# Public Document Pack

**Gareth Owens LL.B Barrister/Bargyfreithiwr**  
Head of Legal and Democratic Services  
Pennaeth Gwasanaethau Cyfreithiol a Democraataidd



To: Cllr Brian Dunn (Chairman)

CS/NG

Councillors: Ron Davies, Glenys Diskin,  
Chris Dolphin, Ian Dunbar, Robin Guest,  
Ron Hampson, Dennis Hutchinson, Brian Lloyd,  
Richard Lloyd, Mike Reece, Tony Sharps,  
Paul Shotton, Ian Smith and Nigel Steele-Mortimer

9 October 2012

Maureen Potter 01352 702322  
maureen.potter@flintshire.gov.uk

Dear Sir / Madam

A meeting of the **COMMUNITY PROFILE & PARTNERSHIPS OVERVIEW & SCRUTINY COMMITTEE** will be held in the **DELYN COMMITTEE ROOM, COUNTY HALL, MOLD CH7 6NA** on **MONDAY, 15TH OCTOBER, 2012** at **10.00 AM** to consider the following items.

Yours faithfully

Democracy & Governance Manager

## **A G E N D A**

- 1 **APOLOGIES**
- 2 **DECLARATIONS ON INTEREST (INCLUDING WHIPPING DECLARATIONS)**
- 3 **MINUTES** (Pages 1 - 6)  
To confirm as a correct record the minutes of the last meeting.

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The Council welcomes correspondence in Welsh or English  
Mae'r Cyngor yn croesawau gohebiaeth yn y Cymraeg neu'r Saesneg

- 4 **FLINTSHIRE COMMUNITY ENDOWMENT FUND** (Pages 7 - 44)  
Report of Chief Executive, Head of Finance
  
- 5 **UPDATE REPORT: POLICE AND CRIME COMMISSIONERS, AND POLICE AND CRIME PANELS** (Pages 45 - 48)  
Report of Chief Executive
  
- 6 **COMMUNITY STRATEGY AND LOCAL SERVICE BOARD - MID YEAR REVIEW** (Pages 49 - 60)  
Report of Chief Executive
  
- 7 **FORWARD WORK PROGRAMME** (Pages 61 - 64)  
Report of Member Engagement Manager

**COMMUNITY PROFILE AND PARTNERSHIPS**  
**OVERVIEW AND SCRUTINY COMMITTEE**  
**16 JULY 2012**

Minutes of the meeting of the Community Profile and Partnerships Overview and Scrutiny Committee of the Flintshire County Council held at County Hall, Mold on Monday, 16 July 2012.

**PRESENT:**

Councillors: C.J. Dolphin, A.I. Dunbar, B. Lloyd, R. Lloyd, M.A. Reece, W.P. Shotton, I. Smith and N.R. Steele-Mortimer

**APOLOGIES:** Councillors B. Dunn, R.J.T. Guest and H.D. Hutchinson

**ALSO PRESENT:** Councillor J.B. Attridge and Head of Legal and Democratic Services

**CONTRIBUTORS:** Leader and Chief Executive

**IN ATTENDANCE:**

Member Engagement Manager and Committee Officer

**8. APPOINTMENT OF CHAIRMAN FOR THE MEETING**

In the absence of the Chairman and Vice-Chairman, the Member Engagement Manager sought nominations for a chairman for the meeting.

**RESOLVED:**

That Councillor N.R. Steele-Mortimer be appointed Chairman for the meeting.

**9. MINUTES**

The minutes of the meeting of the Committee held on 28 May 2012, had been circulated to Members with the agenda.

**RESOLVED:**

That the minutes be approved as a correct record and signed by the Chairman.

**10. DECLARATIONS OF INTEREST**

No declarations of interest were made.

**11. STRATEGIC PARTNERSHIP PERFORMANCE – MID YEAR REVIEW**

The Chief Executive introduced a report to provide the Committee with a mid year overview of the progress of the Strategic Partnerships; the

priorities for each of the Strategic Partnerships and key milestones for the year ahead.

The Chief Executive provided background information and a synopsis of the remit of each Partnership. He advised that some were statutory whilst others were discretionary and made reference to the eight key Strategic Partnerships working alongside the Flintshire Local Service Board (LSB).

The Chief Executive commented that the Authority's record of partnership working with regulators and the Welsh Government (WG) was considered constructive and the LSB had also received support and interest from the WG as a model.

The Leader of the Council commented on the activity which had taken place during the last year and the opportunities presented through partnership working to feed into the Council's priorities. He said that a number of Partnerships were key in terms of welfare reforms and provided feedback on the meeting of the LSB which he had recently attended. The meeting had also provided an opportunity to share good practice and consider how best to prepare the Authority for the challenges ahead.

Councillor A.I. Dunbar commented on the Health, Social Care and Well-Being Strategy and the needs of older frail people. He raised concern that some people were reluctant to request or accept health and social services to support them at home and asked how this could be addressed. In his response the Chief Executive made reference to the range of support services provided by the Authority for older people in need of care and also support given for carers. He advised that the Authority was amongst one of the five top performers for managing hospital discharges and reablement to assist individuals to return home, all of which were in North Wales. Where specific cases of concern arose regarding vulnerable older people the Authority would try to encourage individuals to undertake assessment.

Councillor W.P. Shotton made reference to the Children and Young People's Partnership and said that he had raised concerns around obesity in children and young people and the risk of diabetes at the last meeting of the Lifelong Learning Overview & Scrutiny Committee. He had requested that an item on this matter be included on the Forward Work Programme for consideration by the Committee and looked forward to receiving the Public Health Wales (PHW) action plans.

A number of further concerns were raised by Councillor Shotton around youth unemployment and the number of young people who were not in Employment Education or training. The Chief Executive referred to further development of the Deeside Enterprise Zone and the work being undertaken on advance planning and skills matching. He expressed the view that the Authority may not be proactive enough in promoting vocational as well as academic routes at post 16.

During discussion the Chief Executive responded in detail to the queries raised by Councillor C.J. Dolphin around youth reoffending. He commented on the work undertaken around individuals with mental health and dysfunctional behaviour in schools and reported that the Authority performed well in addressing the issue of youth reoffending.

Councillor A.I. Dunbar referred to the Regeneration Partnership and raised questions regarding the regeneration of local town centres. The Chief Executive commented on the development of Masterplans for Buckley and Flint and said there was a positive view of what could be achieved to improve town centres. However, there was limited funding to do a number of small interventions and he referred to work which had been undertaken to improve energy cost grants. The Leader of the Council commented on the Welsh Government approval of the North East Wales Town Centre Regeneration project covering Flintshire and Wrexham. He asked how the Committee wished to feed into the Partnership and said that the Authority needed to take ownership of the Forward Work Programme. The Chief Executive advised that the relationship between civic leaders and businesses in Flintshire was excellent and referred to the level of expertise, ambition and access to investment.

The Chief Executive informed Members that each of the Partnerships reported to a Service and it was suggested that the relevant Overview and Scrutiny Committees should ensure feedback to the Authority's representatives on the Partnerships to support the scrutiny process. .

**RESOLVED:**

That the report be received and each of the service Scrutiny Committees be encouraged to engage with the Partnerships with their respective remits.

**12. POLICE AND CRIME COMMISSIONERS AND POLICE AND CRIME PANELS**

The Chief Executive introduced a report to inform the Committee (in its role as the Council's statutory Crime and Disorder Committee) of the introduction of Police and Crime Commissioners and Police and Crime Panels and the implications.

The Chief Executive provided background information and advised that on 15 November 2012 voters across Wales and England would be electing Police and Crime Commissioners (PCCs) for the first time. The Commissioner would be elected for a four year period and was required to publish a police and crime plan setting out police and crime objectives for the area.

The Chief Executive gave an overview of the key considerations, as detailed in the report, for policing and its governance. He outlined the arrangements for the transition period to ensure continuity of planning and performance and the establishment of a Transition Board to oversee changes.

He also advised that the Authority would still retain a statutory duty on Crime and Disorder Planning and that the partnership duty remained. It was agreed that further reports on the Police and Crime Commissioners would be made as information becomes available.

**RESOLVED:**

That the report be noted and that further reports be made as information becomes available.

**13. WORK PROGRAMME FOR THE COMMITTEE**

The Member Engagement Manager introduced a report to enable the Committee to consider the Forward Work Programme. He commented that the Authority was still awaiting Welsh Government guidance on the implementation of s.59 of the local Government (Wales) Measure 2011 which dealt with 'scrutinising designated persons'.

Members reviewed the current programme and agreed that the following items be considered at the next meeting of the Committee to be held on 6 September 2012:

- Clwyd Theatr Cymru

The Member Engagement Manager made a number of suggestions for topics to be considered at future meetings of the Committee and it was agreed that he would populate the Forward Work Programme in consultation with the Chair and Vice Chair.

**RESOLVED:**

That the Forward Work Programme be agreed.

**14. DURATION OF MEETING**

The meeting commenced at 10.00 a.m. and ended at 10.55 a.m.

**15. MEMBERS OF THE PUBLIC AND PRESS IN ATTENDANCE**

There were no members of the public and two members of the press in attendance.

.....  
**Chairman**

**SUMMARY OF DECLARATIONS MADE BY MEMBERS**  
**IN ACCORDANCE WITH FLINTSHIRE COUNTY COUNCIL'S**  
**CODE OF CONDUCT**

<b>COMMUNITY PROFILE AND PARTNERSHIPS OVERVIEW AND SCRUTINY COMMITTEE</b>	<b>DATE: 16 JULY 2012</b>
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<b>MEMBER</b>	<b>ITEM</b>	<b>MIN. NO. REFERS</b>
NO DECLARATIONS WERE MADE		

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## FLINTSHIRE COUNTY COUNCIL

**REPORT TO:** **COMMUNITY PROFILE AND PARTNERSHIPS  
OVERVIEW AND SCRUTINY COMMITTEE**

**DATE:** **MONDAY, 15 OCTOBER 2012**

**JOINT REPORT  
BY:** **CHIEF EXECUTIVE AND HEAD OF FINANCE**

**SUBJECT:** **FLINTSHIRE COMMUNITY ENDOWMENT FUND**

### **1.00 PURPOSE OF REPORT**

- 1.01 To consider an in principle agreement to transfer existing moribund trust funds to a newly established Flintshire Community Endowment Fund.
- 1.02 To receive a presentation from Colin Evans, an Associate of the Community Foundation in Wales.

### **2.00 BACKGROUND**

- 2.01 The Council holds a number of funds in trust (as sole trustee) following bequests and investments made to and for various issues and causes within the County. In some cases the funds have become moribund or ineffective as the original intentions or objects stated within the governing documents of the funds are now out of date. In other cases the assets may comprise the net sale proceeds of property and land originally owned by respective trust funds.
- 2.02 The Charity Commission is keen to see the release of the many moribund and ineffective trust funds locked into Local Authorities around the country, and the Commission particularly supports the release of these funds to leading charitable bodies.

### **3.00 CONSIDERATIONS**

- 3.01 Flintshire is currently responsible for 16 educational trust funds which are moribund or ineffective. Five of the trust funds have been registered with the Charity Commission and 11 are unregistered trust funds. Assets total circa £200,000 are held in the form of cash.
- 3.02 From the background information available it would appear that all the original donors established a trust fund with the requirement that the capital sum donated to be held in perpetuity to generate annual income that would be used to support grant applications. Action

needs to be taken to make these trust funds effective once again. These funds are not Council funds and cannot be absorbed into mainstream budgets.

- 3.03 Following formal consultation with the Charity Commission, it is apparent that the most effective use of these moribund and ineffective funds and their attaching assets is to transfer them to a body who will act as trustee and manage the funds with their assets being held in perpetuity, with annual income used to support a new small grants scheme (working title: the 'Flintshire Community Endowment Fund") for charitable distribution to eligible individuals and organisations across the County, broadly in line with the trust funds' original objectives.
- 3.04 The Community Foundation in Wales has been identified as a possible organisation to manage these funds on behalf of the Council. Based on a model of philanthropic giving started in the USA nearly 100 years ago, Community Foundations are now well established and a recognised part of the UK Voluntary Sector. They have been established to cover specific geographical areas and to support their local communities at grassroots level. They are set up as charitable trusts to enable many donors to collaborate in creating a permanent capital fund for the benefit of defined local areas. This will generate income for grant making that will be available for the long term, responding to local needs and issues.
- 3.05 The Foundation is a respected national charity which operates and is effective across Wales. Board members include senior representatives from the business, law and charitable sectors. Trefor Jones CBE, HM Lord Lieutenant of Clwyd is appointed Vice President. The Foundation is an administrator and distributor of charitable funds and manages a number of corporate and statutory grant programmes which directly benefits Flintshire. Over the last five years the Foundation has distributed over £4.5 million of grants to support over 600 groups, individuals and projects across Wales. Flintshire groups and individuals alone have benefited from £33,000 through 16 awards in the last three years. The Foundation is quality accredited by the Community Foundation Network to standards endorsed by the Charity Commission and manages a Capital Endowment Fund in excess of £6.7 million, utilizing the services of professional fund investment managers.
- 3.06 The Foundation is being assisted by an advisor from the Community Foundation Network who has completed similar transfer processes with 30 local authorities across the United Kingdom including five local authorities based in Wales. Community Foundations across the UK have successfully assumed responsibility from local authorities for in excess of £30million of moribund, ineffective and active trust assets creating in excess of £1.3million per annum increased capacity of grant making to individuals and grassroots community groups. This person also acts as the Community Foundation's representative on

the Charity Commission's working group to liberate moribund and ineffective trust funds.

- 3.07 No other locally based organisation has been identified which has the relevant experience or expertise to deal with the release of such moribund and ineffective funds and their attaching assets, to deliver added value and the resulting benefits.
- 3.08 Transfer of the funds will require the absolute agreement of the Charity Commission and be subject to a Fund Agreement between the Council and the Foundation.
- 3.09 **Flintshire Community Endowment Fund**  
A proposal detailing the objectives and administration of the Flintshire Community Fund is attached at Appendix 1.
- 3.10 The Board of Trustees of the Foundation will be the Trustees of the 'Flintshire Community Endowment Fund'. If the transfer takes place the Council will no longer hold any of the funds or their assets or be responsible for their distribution. The agreement of the Charity Commission and the Fund Agreement between the Council and the Foundation will set out the charitable purposes of the Fund.

The Trustees will be sought from local people who represent the County. There are likely to be between 6 and 8 Trustees appointed to the Board with deputies.

- 3.11 The capital sum of approximately £200,000 will form the initial capital fund with interest from the fund distributed by the Foundation as the 'Flintshire Community Endowment Fund' small grants programme. The amount available for distribution each year will depend on interest rates and other investment returns.
- 3.12 The Foundation will continue to identify possible sources of additional funds from local businesses and potential philanthropic individuals so that more money is available for distribution of grants by the 'Flintshire Community Endowment Fund' or to support the growth of the capital fund.
- 3.13 Initially, it is proposed to set aside up to £10,000 from the 'Flintshire Community Endowment Fund' capital for distribution in grants both in 2013/14 and in 2014/2015. It is believed that this will encourage donations from potential philanthropic individuals, companies, businesses and organisations situated within the County who will be attracted to contribute to a Fund which is capable of making an immediate impact in servicing the needs of the community. The combined total of up to £20,000 represents income accrued over many years from the moribund and ineffective assets in scope. The Charity Commission have formally supported this approach.

Income for grant distribution will be generated by investments. The Community Foundation in Wales currently has an Investment Strategy to institute proper guidelines for the management of investments held. If agreement in principle is granted Finance will continue to work with Officers from the Community Foundation in Wales to ensure effective controls are in place for proper stewardship of funds, and that principles of security, liquidity and yield are considered in the investment strategy.

In addition, arrangements will be made to monitor performance, the details of which will be outlined in the fund agreement.

- 3.14 In establishing the 'Flintshire Community Endowment Fund', the Foundation will set funding themes that are complementary to the Council's Community Strategy, to include:
- Safety, including crime and disorder
  - Sustainable neighbourhoods including the environment and housing
  - Prosperity, skills and jobs
  - Lifelong learning including early school years
  - Transport
  - Health and healthy living
  - Culture, arts and sports
  - Community cohesion including issues of race and disability
  - Improving the lives of people with limited resources
  - General charitable purposes which align to the Foundation's ethos of inspiring local giving.

- 3.15 For individuals a maximum grant award of £750 is proposed and for voluntary groups or charitable organisations could also apply for a maximum grant of £750.

Initially applications to the fund will only be received from applicants seeking support for educational purposes (to include prize awards, educational attainment and bursaries) thereby ensuring that the assets from the liberated moribund and ineffective trust funds are used broadly in line with their original objects. At the appropriate time communications to educational establishments across the County will be despatched announcing the launch of the 'Flintshire Community Endowment Fund' and the process for accessing grant funding whilst ensuring that grants will not be awarded if there is cross over with the Council's statutory duties.

- 3.16 The Foundation will publish guidelines and eligibility criteria for the 'Flintshire Community Endowment Fund'. A Grants Panel will be set up comprising of local people (to include nominees received from the Council, potentially comprising officers and elected members) which will assess all applications received and make funding

recommendations. Grant applications will be restricted to applicants residing within the County. The trustees of the Foundation, as the accountable body, will review and approve all recommendations made, in line with charity law. Applications which do not meet the criteria of the 'Flintshire Community Endowment Fund' will, wherever possible, be signposted to alternative funds available from other funding bodies or administered by the Foundation. This will assist in reducing the risk of applicants being disappointed.

3.17 When the 'Flintshire Community Endowment Fund' is established, information about the fund and application process will be circulated to all elected members, publicised on the Council and the Foundation's respective websites, and promoted in the local media and by appropriate community support networks.

3.18 **The Flintshire Community Endowment Fund Administration**

A one off establishment fee of up to 3% of the value of the assets at the time of transfer to be negotiated and agreed upon by the Council and the Foundation to represent the direct costs aligned to the work undertaken by the Foundation to establish the Fund and to liberate, through the Charity Commission, the moribund and ineffective trust funds in scope and their attaching assets. This fee is to be taken from transferring assets at the time of transfer. If the fund had been transferred on 31<sup>st</sup> March 2012 the 3% fee would have been approximately £6k.

3.19 The value of all assets held in the form of endowment will incur an annual management charge of 1.25% of the market value of the Fund.

3.20 The management and administration of the distribution element of the fund will incur a fee of 10% per annum of all income passing to the fund. This is based on interest earned and any one-off donations received

3.21 The transfer of funds such as these to the Community Foundation in Wales has been successfully implemented by a number of Councils in Wales: Powys, Wrexham, Cardiff and Newport. Denbighshire are currently in the process of transferring their funds.

**4.00 RECOMMENDATIONS**

4.01 To support an in principle agreement to transfer existing moribund trust funds to a newly established Flintshire Community Endowment Fund.

4.02 To include this Committee's considerations in a further report to Cabinet in November.

**5.00 FINANCIAL IMPLICATIONS**

As set out in the report.

**6.00 ANTI POVERTY IMPACT**

The Fund will seek to discharge the funds to support those who are disadvantaged; financially or socially.

**7.00 ENVIRONMENTAL IMPACT**

Environmental considerations can be built into the Trust's considerations for funding.

**8.00 EQUALITIES IMPACT**

8.01 The majority of the trust funds were originally established for education purposes including educational attainment, prize funds and bursaries. Establishment of the 'Flintshire Community Endowment Fund' will enable the liberated assets to be used for these purposes into the future.

**9.00 PERSONNEL IMPLICATIONS**

9.01 The transfer of these trust funds will release capacity amongst officers currently administering such funds.

**10.00 CONSULTATION REQUIRED**

10.01 Consideration of this Trust Fund is required by the Community Profile and Partnerships Overview and Scrutiny Committee.

**11.00 CONSULTATION UNDERTAKEN**

11.01 Consultation has been undertaken with various officers and Corporate Management Team.

**12.00 APPENDICES**

12.01 **Appendix 1: The Flintshire Community Endowment Fund: Proposal**

**LOCAL GOVERNMENT (ACCESS TO INFORMATION ACT) 1985  
BACKGROUND DOCUMENTS**

None

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# The Flintshire Community Endowment Fund

## Proposal

Presented to Flintshire Council

May 2012

# Contents

1. Introduction
2. Executive Summary
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9. Future Fund Development
10. Summary

Appendix 1. List of groups in Flintshire supported by the  
Foundation in the past 3 years

Appendix 2. Patrons and Board of Trustees



# 1. Introduction

The Community Foundation in Wales (the Foundation) is delighted to present this proposal to work in partnership with Flintshire County Council (the Council) to develop a new charitable fund for the benefit of residents across the county.

The proposed Flintshire Community Endowment Fund (the Fund) will seek to draw together a number of funding streams to make it easier for community and voluntary organisations based throughout the county to access funds from a single source.

It is proposed that the Fund will consist of two elements: -

**1. Endowment** – initially to be pump primed by the successful: -

- Liberation, through the Charity Commission in Wales (with whom the Foundation enjoys a first class relationship), of any qualifying moribund or ineffective trust funds currently administered by the Council
- Acquisition of any appropriate committee administered active trust funds currently supported by the Council

The assets from all qualifying funds, to be liberated by the Foundation in conjunction with the Charity Commission in Wales, to be held for the long-term benefit of future generations, with an annual transfer being made into the distribution fund (see below) to facilitate immediate grant awards to grass roots community and voluntary groups across the county.

Added value would also be achieved as a result of the Foundation's unique partnership with the Charity Commission involving identifying any redundant and ineffective funds domiciled across the County (outside Local Authority control) which are referred to the Foundation by the Charity Commission under the BOOST Initiative ([www.boostinitiative.com](http://www.boostinitiative.com)). We are happy to

commit any appropriate liquidated proceeds arising from funds liberated to the Fund as a result of our work in this area, to benefit organisations within the county under the umbrella of the Flintshire Community Endowment Fund.

**2. Distribution** – Immediate impact to receive the transfer of net annual interest/dividends from the endowment element of the Fund to facilitate grant making.

In addition now, or at any time in the future, to include the opportunity to accommodate all or part of the Council's voluntary sector small grants funding streams.

Added value is critical to the success of the partnership between the Foundation, and the Council to ensure the effectiveness of the Fund. This can be achieved, for example, through staff member support in advocating for other Council and public sector charitable assets and grant-making programmes to be consolidated, leveraged and enhanced through the Flintshire Community Endowment Fund. Should the Council agree to this proposal the Foundation will commit to adding value to the Flintshire Community Endowment Fund by allocating extra and new resources to fund development work – achieving new investment from the business community and wealthy individuals in the county.

We are proposing to follow a proven model which has been successfully piloted in Wales and which is being adopted across the United Kingdom by members of the Community Foundation Network who are collectively engaged in partnership working with over 50 Local Authorities. This approach has identified and liberated in excess of £20 million of endowed charitable trusts, moribund and ineffective trust funds which have been transferred and liberated creating in excess of £1.3 million per annum increased capacity in local grant making to grassroots community groups by growing their respective Community Funds both organically and through acquisition.

This proposal explains how, in particular, the Foundation can use its wide experience of;

- Working with the Charity Commission in Wales
- Partnering with local authorities
- Managing high-impact local grant making
- Administering charitable trusts and assets
- Supporting and guiding the Council through the process required to release and transfer both its moribund and ineffective trust funds
- Appropriate actively managed trusts for the benefit of the people of Flintshire

## 2. Executive Summary

Charitable Trusts can become moribund or ineffective for a variety of reasons.

Their original purpose may be outdated and irrelevant to current needs or may have simply ceased to exist or ceased to have been fulfilled. This is particularly likely where the objects of a charity focus on specific projects or purposes that are successfully completed, leaving surplus or residue fund balances that in time become obsolete. It is also a common issue, particularly for local authorities, that managing multiple charitable assets, with the burden of investment, grant-making and Charity Commission compliance becomes onerous, expensive and not prioritised.

Changes in Charity Law now impose a legal requirement that every organisation set up for one or more charitable aims must be able to demonstrate that its aims are for the **public benefit** if it is to be recognised and registered as a charity in England and Wales. The Charity Commission in Wales has regard to what the organisation's aims are, whether those aims are charitable and whether the aims are fully and accurately reflected in its stated objects. It will also consider whether each of the aims are for the public benefit and whether they will, or may be, carried out for the public benefit, taking into consideration the particular circumstances of the organisation.

This imposes a burden on individual charity trustees, including local authorities, to ensure that they do not become negligent in charity and they are carrying out their charitable aims to the satisfaction of the public benefit test.

Against this background the Community Foundation in Wales has, over the past 3 years, engaged with 4 local authorities across Wales and successfully launched local Community Endowment Funds following the successful transfer of assets totalling £4.5 million

Key to this initiative was the development of a protocol with the Charity Commission in Wales and as far as moribund and trust funds are concerned, to interpret and utilise sections 74 and 75 of the Charities Act 1993 as revised and enhanced by the 2006 Act.

Liberation and transfer allows funds currently held in a moribund and ineffective state to be revitalised and successfully safeguards them for future generations.

Many Local Authorities provide support services and commit considerable advice and offer time to fulfilling their role as trustees of active trust funds which, in some cases, can prove to be time consuming and costly to maintain with no direct benefit to the Council. For example, completing Charity Commission returns, producing accounts and administering and monitoring grants on behalf of Council-stewarded charitable assets can be viewed as non-core activities for accounts, education and administrative staff.

**The Foundation believes that the identification and transfer of active, moribund and ineffective trust funds would provide the Council with a flexible, cost effective and trouble free solution to what may currently represent an on-going administrative burden that in some cases delivers no charitable benefit.**

Our proposal would create a new and high-impact source of sustainable funding for the Community and voluntary sector and also relieve the Council of its current charitable, legal, management, and administrative responsibilities and the considerable connected costs.

In summary it is proposed that the endowment element of the Fund is established from the pooled balances of all moribund and ineffective trust assets and that the Council undertakes a review of its active trust fund portfolio to establish whether the Foundation could prove to be an able manager and administrator.

The Foundation will ensure revenue and income generated from the net interest accrued by the Fund will be used specifically to meet the needs of local people across the County, in line with the charitable objectives and where possible dovetailing with the Council's corporate plan and /or improvement plan objectives.

The Fund will provide local people with a totally sustainable source of finance that will in time make a significant contribution to meeting the needs of the area's small charities, community groups and voluntary sector organisations.

There are no direct costs to the Council for achieving this proposal; the Foundation charges **to the charitable asset** a transfer fee and annual management fees for administering the investment and grant-making. These fees are competitive and cost-effective.

It is hoped that the Council will give serious consideration, now or sometime in the future, to allocating whole or part of its small grants scheme budget to the distribution element of the Fund. This could facilitate immediate grant making and act as a major gesture of goodwill towards the overall partnership.

Once key financial information has been received from the Council and presented to the Charity Commission in Wales by the Foundation it may be possible to pump prime the distribution element of the Fund by top slicing an amount of accrued income to provide immediate support to qualifying grant applications.

In setting out, over time, to create a major funding portal for qualifying grassroots community and voluntary groups to access, it should be remembered that the Foundation will always attempt to signpost applicants to other relevant named funds under its control should the application be eligible for the 25-plus other Funds managed by the Foundation.

### 3. The Community Foundation in Wales

The Foundation is a company limited by guarantee, a registered charity and a fully accredited member of the Community Foundation Network to customised quality standards endorsed by the Charity Commission.

With a decade-long track record of 'matching donors with do-ers' the Foundation performs three main functions – promoting philanthropy, client philanthropy advice services and grant-making.

As an independent charity the Foundation is dedicated to strengthening local communities and promoting philanthropy using its knowledge of needs to manage excellent grant programmes which make a sustainable impact.

The Foundation is cause neutral and independent.

During the last financial year the Foundation:-

- awarded £1.7 million in grants on behalf of clients, enabling local people to achieve extraordinary things in their communities.
- supported 239 organisations and 63 individuals; these groups funded on behalf of clients have over 69,900 beneficiaries and 5,200 volunteers.
- Increased the level of assets held in endowment to over £6.7million

The Foundation's clients include individuals, families, business and charitable trusts; people and organisations who recognise the value of our philanthropy services in stewarding their charitable giving, maximising its impact and administering and monitoring grants to demonstrate a sustainable legacy.

Chaired by the Hon. Antony Lewis, the Foundation's trustees come from the private, public and charitable sectors and bring a wealth of expertise and experience to support its charitable objects.

The eight Lord Lieutenants in Wales are Vice Presidents and the Foundation is supported by a broad range of volunteers, supporters and advocates across the country.

The Charity Commission in Wales, Welsh business and individual donors are amongst those who attest the Foundation's services and value their role in promoting philanthropy in Wales. Charity clients include Comic Relief; The Fair Share Trust and the Henry Smith Charity.

In recognition of the Foundation's national value and ambitious plans the Welsh Assembly and the Esmee Fairburn Foundation are currently investing in support of the Foundation's core work and growth.

The Foundation provides a cost effective and efficient broker service. This simple structure allows organisations, wealthy individuals and successful businesses to maximise the potential of cause related community involvement, ensuring that all gifts have a real impact, for all the right reasons and for all time.

The Foundation represents a new generation of social business, accountable, transparent, creative and active in engaging committed donors and matching them with areas of genuine concern. This is done in a manner that improves, develops and supports Flintshire's diverse charity, voluntary and community organisations.

The Chief Executive Officer of the Foundation is Liza Kellett who has been a dynamic and personable driving force since 2008.

Over the past 5 years the Foundation has made grants in excess of £4.5 million to support over 600 groups, individuals and projects across Wales, working to meet a range of needs. These include investing in charities, projects and community groups which; enable young people and promote education and enterprise; build cohesion and confidence in communities;



improve physical and mental health; nurture heritage and culture and protect our environment. Flintshire groups and individuals alone have benefited from £42,891 through 18 awards in the last three years.

**Appendix 1** provides a list of groups and projects supported in Flintshire during this period and an insight into the diverse range of groups the Foundation has funded.

The Foundation provides a professional approach for those individuals and organisations that want to invest in local communities and to be engaged in proactive community development.

It also employs a creative management structure utilising a range of modern corporate tools. This includes, fund management, data collection, marketing concepts and reporting techniques that maximise impact, ensure transparency and guarantee donor involvement through specific reporting procedures.

The Foundation's running costs are met through a range of fees that are charged for managing and administering funds and also from private and Welsh Government grants and donations in support of core costs.

## 4. Benefits to Flintshire Council

The formation and commitment to develop the Flintshire Community Endowment Fund will create capacity and added value to increase grant making to community and voluntary groups across the County through the creation of a sustainable fund for the future.

This will result in a positive difference to local communities in tandem with the creation of positive press.

Liberation of moribund and ineffective trust funds and the transfer of appropriate active trust funds will relieve the Council of the annual cost and burden of administration and ensure that the assets held in the trust funds in scope are used to create a modern endowment fund where the objects will ensure that the interest and dividends earned on the money held in perpetuity will support the current and future needs of the community.

Once the Fund is launched it will effectively be managed by the Trustees of the Foundation who will become legally responsible for the Fund, its assets and delivery of its objects in accordance with Charity Law, including adherence to the wishes of the original benefactor.

The Foundation's Patrons and Board of Trustees (see **Appendix 2**) hail from a variety of backgrounds and possess a wide range of skills and experience and an extensive knowledge of the local community and its needs.

### **Cost effective grant management**

One of the major benefits to the Council in partnering the Foundation to establish the Flintshire Community Endowment Fund will be the cost effectiveness in managing multiple trust funds. The Foundation has a professional grants team looking after policy, procedures, delivery, due diligence, monitoring, evaluation and administration. By allowing the Foundation to steward the Flintshire Council's charitable trusts for the benefit

of the people of Flintshire it will greatly reduce the Council's cost of this administrative burden, with the additional bonus of the Foundation multiplying the amount of funding available for local grant making purposes.

Reference to the Foundation's proposed fee structure to effectively manage the Fund is contained in **Section 7** of this proposal. Management fees are charged to the charitable asset and not to the Council.

### **Enquiry handling**

The Foundation would handle all incoming requests for community-based support to the proposed new Fund.

Applications that did not meet the criteria of the Fund would, where considered appropriate, be signposted to alternative funding sources, and indeed, to other grant programmes managed by the Foundation. This would reduce the risk of local groups being disappointed or turned away due to lack of funds.

### **Meeting needs**

In any community, many fundamental needs are hidden from view, with a great deal of vital work being undertaken by smaller, less known charities and community groups. It can be difficult to find out about local charities and community projects that need support.

In running county or town-specific Funds we often gain local intelligence from the local County Voluntary Council and we convene and collaborate with other funders, community workers and a range of local people with community knowledge.

We can guarantee that money will only be spent locally and in support of charitable purposes.

The Council's corporate and improvement plan objectives and community plans will be fully embraced to ensure that, wherever possible, grant making is effective and satisfies the priority themes and outcomes within the Local Area Agreement (LAA) or equivalent.

For example, and subject to Flintshire priorities and the objectives of the charitable assets, grant-making criteria, could include: -

1. Older People
2. Children and Young People
3. Safer, Stronger Communities
4. Sustainable Environment
5. Physical and Economic Regeneration
6. Health and Healthy Living
7. Education and commitment to Lifelong Learning

### **Publicity support**

Through our in-house marketing and communications process, we will maximise the PR potential of the Fund by actively promoting both the benefits passing to local groups and the Council's involvement and commitment to social responsibility, ensuring that this is fully recognised and well documented.

### **Growing the fund**

We would seek to work with the Council, in true partnership, to effectively grow the Fund organically and through acquisition.

The Foundation will convene a range of allies and local support to advocate for the Fund, raise the profile of the opportunities to donate into it (or set up an additional Fund under the Flintshire umbrella) and support the Foundation's business development work for Flintshire, supplemented by a formal Flintshire Fund Development Plan that will widen the initial partnership and deliver growth to the endowment and distribution elements of the Fund.

Over time, this work will add value to and increase the grant making capacity of the Fund, providing positive press and achieving a strong community impact in terms of organisational sustainability, community action and on the lives of the beneficiaries and volunteers.

## 5. Management of the Fund

The Foundation will actively support the Council's staff and members through the Council's decision-making process. This includes making presentations at Board/Cabinet/Compact meetings or equivalent; summarising the proposal for different audiences; advising key staff on information needs; pre-transfer and provision of templates for communications with the Charity Commission and for accounts staff. In this way we will underpin all the Council's pre-transfer work to establish the Fund, and concurrently and into the future, undertake activities as outlined below.

Once the Fund has been effectively pump primed the Foundation will publicise its establishment, in partnership with the Council. For example we usually hold a launch reception, inviting civic leaders, potential donors, the media and supporters and potential partners from the voluntary sector.

As part of this unique partnership the Foundation will:

- Liaise with the Charity Commission to obtain an initial "in principle sanction" to obtain scheme arrangements and or to utilise sections 74 and/or 75 of the 1993 and 2006 Charities Acts and ultimately undertake the work to facilitate the transfer of all qualifying trust funds and/or their assets.
- Design a Flintshire grants programme (e.g. including criteria priorities, marketing and promotion plan and Fund timetable).
- Use the specialist finance and investment skills and expertise of its investment committee to ensure the highest possible return for grant-making, in line with the Foundation's investment policies.
- Provide extensive grant making experience and knowledge to ensure the success of the Fund using the management and administrative services of its professional grants team.
- Ensure that the Council's corporate, improvement and community plan objectives are achieved in respect of grant awards made from

the Fund. This will be achieved by working in partnership to develop the Fund criteria which in turn will govern the distribution of grants.

- Produce an annual impact report to present to the Council to demonstrate the effectiveness of Flintshire grant making.
- Deliver a pro-active approach to grant making, targeting the identified community groups and people to ensure effective delivery of funds.
- Undertake all administrative duties involved in running the Fund, including investment and financial management, the production of accounts, Charity Commission annual returns and any tax reclaims where appropriate.
- Ensure that all grant awards will be distributed in the name of the **Flintshire Community Endowment Fund**.
- Work with the Council to actively widen the initial partnership with a view to developing the Fund through a number of planned initiatives which would be contained within the Flintshire Fund development plan.
- Organise a high profile formal launch of the Fund (perhaps as part of the partnership the Council would host this at one of its civic venues).
- Arrange press releases in line with grant awards to maximise positive press for the Council and the Foundation and to increase awareness and availability of the Fund.

## 6. Quality and Impact Measurement

### National Quality Standard



In 2010 the Foundation reached the Quality Accreditation Standard set by the Community Foundation Network (CFN) to ensure Community Foundations are healthy, strong and sustainable. The standard is aimed at making it easier for those Community Foundations that have attained the accreditation to bid for and deliver national contracts and partnerships.

The Quality Accreditation Standard has received the endorsement of the Charity Commission (England and Wales) ensuring that those Community Foundations who achieve the standards will be effective in the delivery of their services to users.

### **Diligence**

The Foundation operates a robust due diligence in all its activities, including grant-making. We have a baseline due diligence check on all applicants, which increases proportionately with the size and term of the grant award. For example, references are checked for every grant beneficiary, as are the constitution and accounts, many are visited by our grants team and monitoring reports are expected for every beneficiary.

### **Fund Monitoring and Evaluation**

The Fund would be allocated a dedicated Manager at the Foundation, responsible for programme management. Monitoring reports from all beneficiaries (usually received after six months) are scrutinised by the Grants team and actioned/flagged where necessary



Performance of this Fund would be monitored regularly by the Foundation's Board's Grants Committee (at least twice a year). Investment performance is managed by the Finance, Risk and Investment Committee which reports quarterly to the Board. The Board monitor the performance of the Foundation annually and the Chief Executive monitors and evaluates the performance of each Fund annually, reviewing a range of impact indicators.

The Foundation would actively report back on the Fund performance and subsequent grant awards in an annual Impact Report. News items, features and grant information would be featured in the Foundation's website, annual review and annual report.

## 7. Management Fees

The Council will incur no real costs in transferring stewardship of its charitable assets to the Foundation to create the Flintshire Community Endowment Fund, although some staff time will need to be dedicated to the pre-transfer process. The Foundation makes no charges to the Council for its services, as is usual practice across all Community Foundations across the UK for work of this type, and as endorsed by the Charity Commission, the Foundation operates a standard model of management fee charges to the charitable asset.

1. a **one-off establishment fee** would be negotiated with the Council to represent the direct costs aligned to the work undertaken by the Foundation to establish the Fund and liberate/transfer all trusts and assets in scope.
2. The value of all assets held in the form of endowment within the Flintshire Community Endowment Fund will incur an **annual management charge of 1.25%** of the market value of the Fund.
3. The Foundation makes an **annual grant programme management fee of 10%** of the grant-making total to cover the management and administration costs of grant-making from this Fund.

The Foundation adds great value, at its own cost, through actively promoting and growing the Fund for the benefit of people across the county of Flintshire.

## 8. Financial Management and Investment Practice

The Foundation's Board appoints a Finance, Risk and Investment Committee of experts in the fields of finance and investment. They in turn develop and review the Board's Investment Policy and performance and recruit and monitor the services of benchmarked and scrutinised fund managers to ensure best possible levels of growth that will sustain and maximise high-impact grant making programmes. The FRIC reviews Fund Manager Performance quarterly and annually against a range of benchmarking tools. Members of this Committee are noted with an \* in **Appendix 2**.

At present our endowment investments are placed with UBS, J P Morgan and Barings Investment Managers and our grant making funds are deposited with Royal Bank of Scotland.

Fund performance will be evaluated quarterly and a statement of account produced annually in accordance with Inland Revenue and Charity Commission criteria. This will also be published in Foundation's annual Report and Accounts and summarised in the Impact Report of the Flintshire Community Endowment Fund.

## 9. Future Fund Development

### - Strategic Development Group (SDG)

The Foundation will work in partnership with the Council to increase the capacity of the Fund by engaging with senior officers and appropriate members within the Council, the relevant Chambers of Commerce, professional bodies and representatives of prominent businesses across the County, with a long-term objective of growing both the permanent endowment and distribution elements of the fund.

The Foundation's Chief Executive will develop a Flintshire Community Endowment Fund Development Plan; a business plan to achieve profile, partnerships and ultimately additional grant programmes, donations and sub-funds to benefit the community and voluntary sector in Flintshire.

Added value will be achieved, should this Fund for Flintshire be established, through, for example:

- The Foundation focussing existing and new broader grant programmes on Flintshire groups
- Increased grant-making from the Foundation's new Fund for Wales ( a generic, Wales-wide Endowment Fund created on the 1 March 2011 and which has the Prince of Wales as Patron)
- The Foundation's programme of work to support the fundraising capacity and sustainability of the community and voluntary sector through its on-line profile and fundraising programme – [localgiving.com](http://localgiving.com)

Primary targets for growth of the Fund include:

- Transfer of appropriate **active** trust funds currently administered by the Council
- Adoption of redundant and ineffective trust funds as identified by the BOOST initiative (a Community Foundation Network-wide initiative in partnership with the Charity Commission)

- Community benefit Funds (e.g. wind farms) can sometimes come into this category
- The creation of a new Endowment Funds from private individuals and families

### **Immediate Impact**

- New annual grant programmes established by the Foundation's work with businesses and families
- In partnership with the Council, additional elements of the annual Council's discretionary and community grants programmes where feasible
- Payroll giving
- General donations from companies, local businesses, regeneration partners, wealthy individuals (i.e. those below the 'own Fund threshold of £10,000)
- Acquisition of grant-making responsibility from the existing donors who wish to out-source the responsibility and administration to an appropriate third party

## 10. Summary

The Foundation would be delighted to work in partnership with the Council to facilitate the liberation of moribund and ineffective trust funds and the transfer of appropriate active trust funds that are currently administered by the Council.

It is proposed that such funds are used to establish a named endowment fund, The Flintshire Community Endowment Fund, managed by the Foundation for the benefit of the community and voluntary sector and citizens of Flintshire.

Under the Total Return approach operated by the Foundation, the return generated by the Endowment Fund (dividends interest and capital growth) is retained within the Fund and annual transfers are made into the Distribution Fund to be used for the immediate benefit of the people across the County with grant awards being made for charitable purposes to smaller local charities and community groups.

Subject to agreement, application will be made to the Charity Commission to consider top-slicing an agreed amount of accrued interest from the charitable assets potentially available for transfer from the Council to the Foundation, to provide immediate grant-making. For example, retaining £10,000 for two years, to 'kick-start' grant-making from the Flintshire Community Endowment Fund - thus enabling time for the investment income to accrue and for the Foundation to secure other sources of grant funding.

Added value in growing the capacity of the Fund is fundamental to the success of this venture. Partnership working with the Council in advocacy and signposting and could also result in other Council assets and grant programmes being managed through the Flintshire Community Endowment Fund. The Foundation will create a Flintshire Fund Development Plan and achieve new income for Flintshire grant-making.

The Foundation will take complete responsibility for the management and administration of the fund including all legal requirements.

This proposal is based upon the Foundation's ability to deliver an unparalleled level of charitable service directly to the heart of the local community.

**By working in partnership with the Foundation we believe the Council can generate a sustainable Fund that will serve the County "today and forevermore" ensuring that a real difference can be made to the lives of ordinary people, in particular those identified as in greatest need.**

## Appendix 1.

### List of grants made in Flintshire supported by the Foundation in the last 3 years.

Organisation Name	Amount Awarded	Brief Description
Plas Derw Trust Ltd	£7,849.00	Towards the costs of running 'Mission Explorable', a project for children and young people to experience the outdoors and discover more about themselves.
North Wales Superkids	£2,000.00	To purchase toys to be distributed to children in North Wales for Christmas.
DangerPoint	£1,000.00	To deliver an additional road safety event for 500 more pupils.
Dyffryn Ceiriog Riding for the Disabled Association	£4,500.00	Towards costs of operation of the riding sessions and funding for a riding holiday for children with disability (autism, cerebral palsy, scoliosis, visual and hearing)
Holywell Autumn Club	£2,500.00	Towards the costs of coach, meal, rail and river journeys and theatre in a programme of outings for their elderly members.
Multiple Sclerosis Support Centre	£3,600.00	12 month pilot delivering free, weekly circuit training based exercise classes to people with MS.
Plas Derw Trust Ltd	£2,060.00	To fund 'Mission Explorable' a series of activity days that take children canoeing and rock climbing over two days
Bullies Out	£1,000.00	To deliver Hand of Courage workshops across North Wales.
Carmel Toddler Group	£900.00	To purchase new children's furniture and storage equipment.
Cylch Meithrin Maes Y Coed	£1,000.00	To purchase new equipment and craft materials
Deeside Silver Band	£1,000.00	The money would go towards new music books, uniforms and instrument repair and also the rent for the hall and expenses for a music teacher.
Eye 2 Eye	£1,000.00	Towards a social club for people who are visually impaired.
Holywell Autumn Club	£937.00	Towards costs of an outing for the elderly members of the group.
Llanasa Scarecrow Festival	£750.00	To purchase a small marquee, to facilitate children's activities during the Scarecrow Festival and could also be used by local groups and organisations.
Playmates	£1,000.00	To buy craft materials and equipment and somewhere to store what they create.
DangerPoint	£1,995.00	To cover transport and staff costs to deliver a new road safety presentation and reconstruction at the centre for young people.



<b>Organisation Name</b>	<b>Amount Awarded</b>	<b>Brief Description</b>
Plas Derw Trust Ltd	£4,800.00	To fund the running of a project called Seed to Side Board that offers practical work experience to young people at risk of exclusion.
Friends of Flintshire Citizens Advice Bureau	£5,000.00	Towards the training of volunteers, tutors for informal IT and other courses, and to purchase digital camera equipment.
TOTAL	£42,891.00	

## Appendix 2.

### Patrons

#### **President**

Captain Sir Norman Lloyd-Edwards KCVO, GCStJ, RD\*, JP, RNR

#### **Vice Presidents**

Byron Lewis Esq, HM Lord Lieutenant of West Glamorgan

His Honour Huw Morgan Daniel C.StJ, HM Lord Lieutenant of Gwynedd

The Hon. Mrs Shân Legge-Bourke LVO, HM Lord Lieutenant of Powys

Mrs Kathrin Thomas CVO, HM Lord Lieutenant of Mid Glamorgan

Dr Peter Beck, HM Lord Lieutenant of South Glamorgan

Trefor Jones Esq CBE, HM Lord Lieutenant of Clwyd

Simon Boyle Esq, HM Lord Lieutenant of Gwent

The Hon. Robin Lewis OBE, HM Lord Lieutenant of Dyfed

#### **HRH the Prince of Wales ‘Patron of the Fund for Wales’**

### Board of Trustees

#### **Chair**

#### **The Hon. Antony Lewis**

Antony Lewis is the Chair of the Community Foundation in Wales. He is also Chair of the Mid Wales Food and Land Trust. He is a trustee of the Institute of Rural Health, the Newtown Market Hall Building Preservation Trust, the Powys Association of Voluntary Organisations and Rekindle, a mental health charity. He was the chair of the Powys Family Health Services Authority from 1990 to 1996 and the Powys Health Care NHS Trust from 1996 to 2003. He is a barrister and a member of the Competition Appeal Tribunal.

#### **Trustees**

#### **Dr Caryl Cresswell**

Dr Caryl Cresswell, Director of Enterprise Development Associates Ltd (EDA) has demonstrated practical experience of working with the social, public and private sectors in business creation, development, survival and growth with expertise in business and strategic planning, funding applications, governance and project management. From a background in academia and manufacturing engineering she provides both an operational and business perspective and as a successful and experienced project manager she achieves solutions, outcomes and results within timelines and budgets.

Caryl is a consultant with the Welsh Assembly Government for Enterprise Education in HE/FE and with Wales Co-operative Centre for social enterprise development. As a Trustee of the Community Foundation in Wales, chair of the HR Committee and chair of the Newport Strategic Group she is engaged in both professional and volunteering opportunities with South Wales communities to provide community leadership and encourage and support

sustainability through effective governance, planning, management, systems and triple bottom line control.

### **Peter Davies OBE**

Peter Davies is currently Commissioner for Wales on the UK Sustainable Commission and Co-ordinator for The Prince's Charities in Wales. His career background is in the field of corporate social responsibility working for the Confederation of British Industry and the Department of Trade and Industry and was also Managing Director for Business in the Community (1995-2005). Peter was awarded an OBE in 1995 for work in establishing links between business and education under the DTI's Enterprise Initiative (1989-1995). He is project consultant for Wales and the Millennium Development Goals Task Force, Welsh Assembly Government's Corporate Challenge programme, WCVA Climate Change programme and St James Ethics Centre corporate responsibility research programme. He also has many other responsibilities among which are being a member of the Climate Change Commission, Chair of the Cambrian Mountains initiative, and Trustee of Prime Cymru.

### **David Dudley**

David Dudley is the Vice Chair of the Foundation. After a career spanning 34 years and many countries, David left the Army as a Lt Colonel to assume the new post of Information Manager at the N Wales Health Authority. He went on to become Chief Executive of Epilepsy Wales and took on a number of roles in the Voluntary Sector. For many years he was on the Board of the Rolls-Royce Enthusiasts' Club, including a spell as Chairman. Although now 'retired', he still plays a part in local affairs and is Vice-Chairman of his local Community Council.

### **Jon Hollins**

Jonathan Hollins is Group Marketing Director of the Freshbaked business services group. With over 20 years PR and marketing experience, Jonathan is an elected member of the Chartered Institute of Marketing and award winning PR professional, and has worked for national organisations including Boots, RAC, Lloyds TSB and the former Welsh Development Agency. Having previously acted as Head of the South Wales Chamber of Commerce in Cardiff, he acts as a conduit between the Welsh business community and the Foundation.

### **Ilene Hoyle**

Ilene Hoyle is the director of Ilene Hoyle Consultancy, her own consultancy and training business serving the not for profit sector across the UK. With over 25 years in the sector Ilene specialises in supporting the strategic development of small and medium sized charities. A licensed trainer and member of the Institute of Fundraising as well as the Chartered Institute of Personnel and Development, Ilene's areas of interest include strategic fundraising and governance. In 2009 her title in the Tiny series, ***A Tiny Guide to an Effective Fundraising Strategy*** is being published. Ilene's client list is large and diverse. Ilene uses her expertise in the sector and her charity governance experience to support the development of the Community Foundation in Wales, where she currently chairs the Forward Planning Group.

### **Drewe Lacey\***

Drewe Lacey holds the Diploma in Financial Planning and for 27 years has been an independent financial adviser. He is presently Executive Chairman (and Founder) of Alchemy Wealth Management Ltd, and a Director of the Portland Financial Group Ltd and Portland Financial Management SA, in Geneva. These companies provide tax and investment services in the UK and Internationally. His first career in Industry was with Ford Motor Co and BTR plc in business and General Management in the UK and Overseas. He holds post graduate Management and Marketing qualifications and is a member of the Institute of Directors.

He has written papers on Charitable Giving and Retirement Options, and Corporate Sponsorship and is actively engaged in developing relations between Freemasonry and Community Foundations.

Drewe also has an interest in fundraising whilst using his other pursuits, music and running e.g. London Marathon (1998, 2003) and his involvement in Monmouth Music Theatre and has organised a major fundraising event for the Monmouth Branch of Friends of Chernobyl's children.

### **Frank Learner (Honorary Treasurer)\***

Frank Learner is Principal of Greengage Associates – providing financial management and governance services to the Voluntary Sector. He spent most of his working life in the Royal Air Force; his last role was Treasurer of the Service's main grant-making charity - earlier roles included Head of Internal Audit at RAF St Athan in the Vale of Glamorgan and Head of HR/Finance at RAF Valley in Anglesey. Frank is the Foundation's Treasurer; he is also a member of the Charity Finance Directors' Group's Regional Committee for the South West of England & Wales. Frank has won WCVA's volunteer of the year award for 2010 which was to recognise the extensive Accountancy work and invaluable commitment he offers the Foundation.

### **Henry Robertson**

Henry Robertson, is business development director for Rathbone Brothers Plc (the Investment Manager), where he has been employed since 1994. The company has good links with several Community Foundations. In addition he manages his family property and business at Crogen near Corwen, where the family have been living for several generations. Prior to joining Rathbones, Henry served in the regular Army for 17 years.

Henry served as High Sheriff for Clwyd in 2009/10 and subsequently became a member of the Reserve Forces and Cadet Association in Wales, trustee of Dangerpoint and Clwyd Crimebeat. Together with his wife Sarah, he actively engaged with the promotion of young people and rehabilitation for offenders, as well as proactive support for St Dunstan's which supports blind ex-servicemen. He joined HM Queen's Bodyguard of the Yeoman of the guard in 2009.

### **Julian Smith\***

A former professional Engineer and international industrialist, subsequently worked for West Wales Chamber of Commerce to bring Objective 1 funding to the private sector. Has lived for 19 years in Mumbles since returning from overseas, he has recently stepped down as a Council member of Swansea

University and as a Director of Care and Repair Neath Port Talbot. Julian still represents West Wales Chamber of Commerce on various NPT bodies and is well connected in the Swansea Bay area. He is also Company Secretary of Mumbles Development Trust and Secretary of the Mumbles Twinning Association.

**Michael Westerman**

Michael is a fundraising and philanthropy consultant, mentor and business advisor, with over 35 years experience in the voluntary and corporate sectors. A former Chair of both The Assoc. of Fundraising Consultants and the Institute of Fundraising(Midlands). He brings to the Foundation a wealth of fundraising expertise. Michael helped create one of the UKs most successful voluntary organisations - ActionAid in 1972 and in 1980 formed the award winning consultancy The Midas Partnership. He has lectured widely on fundraising in Asia, Australia, India, and the UK, and holds a diploma in Communication, Advertising, and Marketing: is a Life Fellow of The Institute of Marketing: is a Member of The Institute of Fundraising: and is a member of The Institute of Sales Promotion.

**\* indicates members of the Finance, Risk and Investment Committee.**

**Julian Rathbone of Rathbones** is a co-opted advisor to the Finance, Risk and Investment Committee.

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## FLINTSHIRE COUNTY COUNCIL

**REPORT TO:** COMMUNITY PROFILE & PARTNERSHIPS  
OVERVIEW & SCRUTINY COMMITTEE

**DATE:** THURSDAY, 15 OCTOBER 2012

**REPORT BY:** CHIEF EXECUTIVE

**SUBJECT:** UPDATE REPORT: POLICE AND CRIME  
COMMISSIONERS, AND POLICE AND CRIME  
PANELS

### **1.00 PURPOSE OF REPORT**

- 1.01 To provide Members with an update regarding preparations for the election of the Police and Crime Commissioner for North Wales.

### **2.00 BACKGROUND**

- 2.01 On the 15<sup>th</sup> of November 2012, the public of North Wales will go to the polls to elect the first Police and Crime Commissioner (PCC) for the region. The Government's intention in introducing these reforms to police governance is to give the public a direct say in policing in their area through the ballot box. The PCC takes over from the Police Authority on the 22 November 2012, at which point the Authority will cease to exist.
- 2.02 The powers conferred upon the PCC will be considerable. The PCC will hold police forces and Chief Constables to account, will set local policing priorities and decide how council tax is spent on crime and policing issues, including the level of Council tax that is raised for policing (the policing precept). As well as planning policing budgets PCC's will also:
- influence how community safety is addressed in the region
  - work with local partnerships and national and regional criminal justice agencies to deliver services
  - build and foster relationships with the Chief Constable and community groups to achieve common goals
  - contribute to national policing capabilities
- 2.03 The PCC will be held to account by a Police and Crime Panel (PCP). The Home Secretary is responsible for the establishment of the Panel in Wales. She is also be responsible for all costs associated with the Panel, although the intention is to leave the PCP as much discretion over its own affairs as possible and to appoint a lead authority to 'host' the panel.

2.04 It was agreed at the North Wales Regional Leadership Board meeting on the 23<sup>rd</sup> March 2012 that Conwy County Borough Council will be the Host Authority for the North Wales Police and Crime Panel. A shadow Police and Crime Panel meeting is scheduled on Thursday 13<sup>th</sup> September 2012. The Welsh host authorities also had a meeting scheduled mid-August to review progress to date.

2.05 North Wales Police Authority advise that a period of purdah will commence from 4 October 2012 through to 15 November 2012. The term of office "begins with the seventh day after the day of the poll at the election" i.e. the morning of Thursday 22 November 2012.

### **3.00 CONSIDERATIONS**

3.01 From April 2013 the Police and Crime Commissioner will be responsible for commissioning some key services that will tackle crime and disorder and community safety in North Wales. The Home Office have confirmed their intention to divert some grant funding, currently managed by partners and partnerships, to the PCC. In the future it will be for the PCC to determine their allocation.

3.02 To aid the Police and Crime Commissioner to review the projects, programmes and services which are currently commissioned utilising Community Safety Funding (CSF); Drugs Intervention Programme (DIP) funding; and Youth Crime and Substance Misuse Prevention funding (YCSMP) the Police Authority has requested partners to complete business cases which will be provided to the Commissioner following their election. The information is requested by the 28<sup>th</sup> of September, 2012. No decision will be made for future funding until the PCC has been elected.

3.03 Service providers have been notified of the possibility that their funding may cease. Two important roles that are at risk, and contribute to Flintshire County Council's service delivery, are those of the Anti-Social Behaviour Co-ordinator and Neighbourhood Watch Chief Officer.

3.04 During the brief window of transition between the PCC's election and the final week of the Police Authority the information to assist their commissioning of services will be shared.

3.05 Events and meetings have been planned over the forthcoming months to assist statutory and voluntary organisations prepare for the transition to the PCC. The most prominent of these events was held on the 12<sup>th</sup> of September, 2012. As part of the Safer Future Communities Project, the Wales Council for Voluntary Action is organising this free event to be held in Venue Cymru, Llandudno, titled 'What will the new roles of Police and Crime Commissioner mean for you?'



The event will provide information including:

- The role and powers of the PCCs, how they will impact community safety partnerships and what the implications will be for the sector
- How the Safer Future Communities Project can help you prepare for the PCCs
- What the regional networks are about and how they will work and fit into this arrangement

**4.00 RECOMMENDATIONS**

4.01 That the report be received and its contents noted.

**5.00 FINANCIAL IMPLICATIONS**

5.01 None arising directly from this information report.

**6.00 ANTI POVERTY IMPACT**

6.01 None arising directly from this information report.

**7.00 ENVIRONMENTAL IMPACT**

7.01 None arising directly from this information report.

**8.00 EQUALITIES IMPACT**

8.01 None arising directly from this information report.

**9.00 PERSONNEL IMPLICATIONS**

9.01 None arising directly from this information report.

**10.00 CONSULTATION REQUIRED**

10.01 Not applicable

**11.00 CONSULTATION UNDERTAKEN**

11.01 Not applicable

**12.00 APPENDICES**

None

**LOCAL GOVERNMENT (ACCESS TO INFORMATION ACT) 1985  
BACKGROUND DOCUMENTS**

None other than material published on the North Wales Police

Authority website.

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## FLINTSHIRE COUNTY COUNCIL

**REPORT TO:** **COMMUNITY PROFILE & PARTNERSHIPS OVERVIEW & SCRUTINY COMMITTEE**

**DATE:** **MONDAY 15<sup>th</sup> OCTOBER 2012**

**REPORT BY:** **CHIEF EXECUTIVE**

**SUBJECT:** **COMMUNITY STRATEGY AND LOCAL SERVICE BOARD - MID YEAR REVIEW**

### **1.00 PURPOSE OF REPORT**

1.01 To seek endorsement of the ongoing work of the Flintshire Local Service Board (LSB).

### **2.00 BACKGROUND**

2.01 The Flintshire LSB was established in 2008. Its remit is to focus on five main areas:

- Building and Maintaining effective and trusting partnership relationships as a set of local leaders.
- Discharging the responsibilities of an LSB - this includes producing a meaningful and fit for purpose Community Strategy.
- Consistent and effective governance and performance of strategic partnerships, e.g., Community Safety Partnership, Health, Social Care and Well Being Partnership, Children and Young People's Partnership and Regeneration Partnership.
- Identifying and working on common issues as public bodies/employers.
- Promoting collaboration in the design and provision of local public services to make best economic use of local partner's resources, such as people, money, assets and technology.

2.02 Working alongside the Flintshire Local Service Board (LSB) are eight key Strategic Partnerships. There are other Partnerships which are not routinely reported upon in this report but do report to Cabinet and / or Overview and Scrutiny from time to time

- Children & Young People's Partnership
- Community Safety Partnership
- Flintshire and Wrexham Local Safeguarding Children Board
- Flintshire Housing Partnership
- Health, Social Care and Well-being Partnership
- Regeneration Partnership
- Voluntary Sector Compact

- Youth Justice Board
- 2.03 These Strategic Partnerships exist for a number of reasons:
- Statutory provisions i.e. Children and Young People's Partnership; Community Safety Partnership; Health, Social Care and Well-being Partnership; Local Safeguarding Children Board, Youth Justice Service.
  - National agreement e.g. Voluntary Sector Compact.
  - Local agreement to ensure a more strategic and integrated approach, e.g. Regeneration Partnership, Housing Partnership.
- 2.04 The statutory requirement for the development of a Community Strategy on a partnership basis for the whole County of Flintshire was described to Members in the report presented to and endorsed by Executive on 17th February 2009. Subsequently, the Community Strategy was formally adopted by Flintshire County Council on 30<sup>th</sup> June 2010.
- 2.05 The Community Strategy Work Plan is based around the issues, challenges and risks identified by the 'County Vision'. The Flintshire Local Service Board (LSB) identified carbon reduction and the impact of poverty and its associated disadvantage within the County as key issues to be tackled collectively.
- 2.06 In October 2011, the Flintshire LSB agreed a programme of work to refresh its priorities. This included a review of:
- a. Our performance in the 4 areas of work that form the national Effective Service for Vulnerable People (ESVP) Programme.
  - b. Our progress in achieving our Flintshire County Vision.
- 2.07 The work was supported by the Strategic Partnerships in Flintshire through the LSB Support Team. This is a 'virtual' team that brings together:
- Strategic Partnership Coordinators including the LSB
  - Communities First Senior Coordinator
  - Head of Planning Policy (in relation to Local Development Plan)
- 2.08 The Team was established in 2008/09 to support the LSB by:
- Acting as a 'Clearing House' for the LSB and assist in making action happen.
  - Identifying and assessing community issues and to channel into the LSB where appropriate.
  - Consolidating its existing intelligence and knowledge to support partnership working.
- 2.09 By working in this way, there is a more team based approach to supporting the LSB and the strategic partnerships that is joined-up, has greater shared understanding of issues, reduces duplication, etc. In addition, the LSB have provided a mandate that is supported by the 'source' partnerships (and their associated partners) for the LSB Support Team to undertake its

role.

### **3.00 CONSIDERATIONS**

#### **Reviewing Our Performance against the Effective Services for Vulnerable People (ESVP) Programme**

3.01 Welsh Government has supported the establishment of the national Public Service Leadership Group (PSLG). This Group seeks to provide leadership for public service reform and collaboration, and to drive the pace of improvement in public services of Wales.

3.02 One programme of work led by the PSLG includes the Effective Services for Vulnerable People (ESVP) programme. This work is focused on developing new ways of delivering public services that have the potential to make a difference to peoples' lives at the same time as making better use of public money.

3.03 The programme focused on 4 'big' issues in which it was generally accepted that more needs to be done to find and promote new ways of working. These are:

- Promoting independent living and wellbeing;
- Improving the life chances of families, particularly those with complicated and interrelated needs;
- Improving the education, training, and employment prospects for young people; and
- Improving services for vulnerable people, with an early focus on those suffering domestic abuse.

3.04 The review commenced in November 2011 and Stage 1 incorporated:

- An overview of the 4 case studies from the ESVP Programme.
- An overview of key activities in Flintshire in relation to these models.
- A proposed approach to address performance 'gaps'.

3.05 LSB leads and Strategic partnerships were identified to take forward Stage 2 of this work. This included:

- A review of current performance in Flintshire.
- A judgement (RAG status) on how we are currently performing.
- Actions to further improve performance.

3.06 Generally, the LSB agreed that we should establish opportunities for greater partnership working and information sharing to further progress work in each of these areas. With this in mind, Stage 3 is now focusing on developing and implementing actions to improve performance.

#### **Reviewing our progress in achieving our Flintshire County Vision**

3.07 The review of the County Vision commenced in January 2012. The County Vision has five themes and each theme has a number of priorities. The

LSB Support Team reviewed each priority using the following questions:

- i. In working towards each priority, from a partnership perspective what would success look like?
- ii. To achieve that level of success, what actions could we take where working in partnership would make a positive impact?
- iii. What measures would we use to demonstrate progress?
- iv. What are the key partnership actions that have been undertaken to date to support the achievement of the priority?
- v. What future actions do we need to undertake? This included action required to shift our performance.

3.08 At the LSB in April, a summary of the above was presented and all attendees were asked to allocate a performance judgement (using a RAG status) for each priority using the following as criteria.

- RAG Now - This is our performance in relation to how we are currently progressing on delivering the priority.
- RAG Future - This is our level of confidence in relation to our ability to improve performance in delivery of this priority.

3.09 Upon completion of this, it was agreed that there was a need to review and refresh the County Vision priorities as:

- Many aspects of the existing County Vision are being actively and successfully progressed.
- Many areas of work have changed as a result of restructure, changing legislation, changing funding, regionalisation, etc.
- The LSB should focus on rationalising the themes and sub-themes so that it can focus on a smaller number of key priorities where a partnership approach would add value and be more important.
- In establishing priorities, we should ensure that there is a balance between what we have got to do and what we aspire to do.
- Need to develop so that partners can focus their resources on delivering priority work areas, as appropriate.

#### **Our LSB Priorities- Bringing the Review Together**

3.10 It was agreed that the LSB would have a small number of key priority areas in which LSB Partners have a shared interest and where a high level partnership such as the LSB is needed to lead and influence change. These key priority areas would become the LSB work programme.

3.11 Priorities will be classed as 'primary' and 'secondary':

- The 'primary' or top priorities are the ones the LSB will concentrate on.
- The 'secondary' priorities would be those supporting priorities which are the top line for the specialist partnerships.

3.12 The two fit together to provide a coherent vision with responsibilities for who

leads what (the LSB or a specified partnership) set out clearly. The models of service delivery (ESVP) would be woven into this according to what we think needs to be done.

- 3.13 In July 2012, the LSB agreed the priorities using this approach – see Appendix A. Statements of Ambition for each of the priorities are now being developed. These will provide an outline of what we want to achieve, what we want to change and why.

### **Carbon Reduction and Adaptation**

- 3.14 The Carbon Reduction and Adaptation Planning project has been running since 2009 and the Statement of Ambition includes:

- Achieve an 80% reduction in carbon emissions by 2050 with a year on year reduction of at least 3%.
- Understand and respond to the effects of climate change on our communities, working environments, way of life and well-being.
- Become a low carbon county as well as reducing our broader ecological footprint, this goes beyond addressing the impact of carbon emissions alone.

Progress on each of these are as follows:

#### Achieve an 80% reduction in carbon emissions by 2050 with a year on year reduction of at least 3%

- 3.15 The production of carbon for the period of 2010/11 was 24,305,005 tonnes and the total for 2011/12 is 21,226,683tonnes, resulting in a 12.67% emission reduction. This exceeds the expectation of the target of a 3% year on year, but does reflect a warmer winter period.

- 3.16 The difficulty will arise next year when the target set out in the Strategy and the Outcome Agreement is for a 3% reduction year on year. Indications for the first quarter of 2012/13 are that consumption is higher than the previous year.

#### To understand and respond to the effects of climate change on our communities, working environments, way of life and well-being

- 3.17 Climate Change adaptation still appears to a difficult concept, particularly with the need for long term planning considerations and the potential outcome of activity approved now which could compromise future goals and aspirations. To help with this the following keys tasks are being undertaken:

- Development of a draft Framework is being progressed in partnership with Welsh Local Government Association as part of the support provided for the initial pilot project Changing Climate: Changing Places. This framework is attached for approval and comments
- Shaping of a Climate Change Adaptation Plan - There is a knowledge and information gap in moving forward with the Climate Change Strategic Shared Risks. This is because there has never been a need

to consider 'future proofing' of financial spend, community risk or the need to ensure a fully inclusive, integrated outcome. Evidence base has always been historical, whereas to determine the needs for Climate Change Adaptation is to be able to understand and interpret predictions and probabilistic data.

- Research is being commissioned to help determine the 'pressure points' of the climate change impact with 'tipping points or thresholds' for Infrastructure: Roads or Infrastructure: Assets, or both should budgets permit. This would provide a 'blue print' for other risks.
- Prioritising/Testing Adaptation Solutions of the Risk is being developed by risk and business managers – this will help focus on the adaptation solution and confirm the adaptation proposal's suitability to deliver benefit over a long period of time.

To become a low carbon county as well as reducing our broader ecological footprint, this goes beyond addressing the impact of carbon emissions alone

- 3.18 There is no major progress to report, many of the proposals for the Greenfield, Holywell project are in early stages of negotiation with partners and the community.

### **Maximising Opportunity - Preventing and Reducing Poverty and Disadvantage in Flintshire**

- 3.19 This project focuses on benefit, debt and housing advice; affordable credit, and; financial literacy. The key focus has been to identify: current benefit, debt and housing advice services in the County provided by the local authority and the voluntary sector; the local and national factors that are and / or will impact on demand for these services, and; opportunities for efficiencies in advice provision. This is of particular significance given the anticipated implications of welfare reform.

- 3.20 The key drivers of this project include the need to:
- Map the provision of existing benefits advice provision in Flintshire and identify opportunities for efficiencies in advice provision amongst the voluntary sector and its Partners (including the local authority).
  - Develop a more strategic, co-ordinated and collaborative approach to planning and delivery of advice services.
  - Complement other service development opportunities, for example, Flintshire Connects 'One Stop Shops', Voluntary Sector Efficiencies including Voluntary Sector Funding Principals, Families First including supporting Child Poverty Strategy for Wales, etc.
  - Respond and adapt to changes in legislation and funding, in particular the programme of welfare reform.

- 3.21 The Team working on the project includes the Chief Executive at Flintshire Citizens Advice Bureau (FCAB), Lead Officers from each Directorate of Flintshire County Council and the North Wales Financial Inclusion Champion. The Team is known as the 'Flintshire Advice Management Board' (FAMB) – Core Group.



3.22 The work is being progressed with the aim to present recommendations that will reduce duplication, make better use of resources, improve access to services for the customer and realise cash savings.

3.23 At the LSB meeting in January 2012, four options for the development of this area of work were considered:

- OPTION 1 - Maintain status quo
- OPTION 2 - A 'virtual' team to provide service
- OPTION 3 - A single team to provide service
- OPTION 4 - Commissioning arrangements including potential to outsource

The LSB confirmed its support for building on a combination of both options 2 and 3 with the view that the aim would be to achieve Option 3.

3.24 The focus of the work has been on:

**Clearing House Role** – Several posts have been brought to the AMB for discussion. It has been agreed that posts would only be considered where advice was a primary responsibility. This remains a developmental area for the AMB.

**Framework Strategy** – A draft Advice Strategy is being developed.

#### **Partnership Working**

- Developing the Single Gateway (Universal Referral Arrangement) Pilot – This commenced on 17<sup>th</sup> October 2011 and focused on the application forms for Discretionary Housing Payments (DHP). Completed forms are used to identify customers that could benefit from further advice services and a single gateway service applied.

A review of the pilot is being undertaken prior to it being rolled out further.

- Developing a Single Home Visits Policy - A draft policy is being developed. Detailed process mapping has been undertaken to model different approaches.
- The Advice Network was launched on 20<sup>th</sup> October 2011. Since then two further network events have been held.
- Information Sharing – An Information Sharing Protocol (ISP) has been developed in line with the Wales Accord for Sharing of Information Protocol (WASPI) to support the work being undertaken to pilot the Single Gateway.
- Discussions with the North Wales Credit Union and All Flintshire Credit Union to identify opportunities for working together have taken place.

#### **Partnership Governance Framework**

3.25 The Self Assessment established as part of the Partnership Governance Framework has now been undertaken by all Strategic Partnerships. This

information is being used for the project being undertaken corporately on a Flintshire Self Assessment.

#### **Flintshire LSB Conference**

- 3.26 The first Conference has been scheduled for 21<sup>st</sup> November 2012. The purpose of this conference will be to show our commitment to these priorities and to celebrate our successes from partnership working.

#### **4.00 RECOMMENDATIONS**

- 4.01 That the Community Profile and Partnerships Overview and Scrutiny Committee support the ongoing work of the Local Service Board as outlined in this report.

#### **5.00 FINANCIAL IMPLICATIONS**

- 5.01 There are no financial implications directly associated with this report.

#### **6.00 ANTI POVERTY IMPACT**

- 6.01 There are no anti poverty implications directly associated with this report.

#### **7.00 ENVIRONMENTAL IMPACT**

- 7.01 There are no environmental implications directly associated with this report.

#### **8.00 EQUALITIES IMPACT**

- 8.01 There are no equalities implications directly associated with this report.

#### **9.00 PERSONNEL IMPLICATIONS**

- 9.01 There are no personnel implications directly associated with this report.

#### **10.00 CONSULTATION REQUIRED**

- 10.01 There is no requirement for consultation to be undertaken in relation to this report.

#### **11.00 CONSULTATION UNDERTAKEN**

- 11.01 There was no requirement for consultation to be undertaken in relation to

this report.

## **12.00 APPENDICES**

12.01 Appendix A – LSB Priorities.

### **LOCAL GOVERNMENT (ACCESS TO INFORMATION ACT) 1985 BACKGROUND DOCUMENTS**

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# **APPENDIX A – LSB PRIORITIES**

**PRIMARY PRIORITIES**

**PRIORITY 1:**  
Lead by example as employers and Community Leaders

**PRIORITY 2:**  
People are safe

**PRIORITY 3:**  
Early and timely coordinated response for vulnerable families and individuals at risk

**PRIORITY 4:** Partners work effectively together and make the best use of resources available to develop services that meet the needs of the community

**PRIORITY 5:**  
Organisational environmental practices

**SECONDARY PRIORITIES**

LINKS TO OTHER PRIORITIES

A: Protect and promote the well-being of our employees, volunteers & the community.	Orange
B: Support lifelong learning & employability of our employees & volunteers.	Green
C: Improve the education, training & employment prospects for young people up to 25 years of age (ESVP).	Yellow

A: Address the availability & misuse of alcohol / other substances in our communities.	Yellow
B: Reduce injuries whether intentional or unintentional.	Green
C: Prevent & manage anti-social behaviour & address environmental crime.	Green
D: Improving Services for vulnerable people with a focus on those suffering domestic abuse, missing people and restorative approaches (ESVP).	Yellow

A: Respond to welfare reform & manage its impacts.	Pink
B: Improve the life chances of families, particularly those with complicated and interrelated needs (ESVP).	Orange
C: Improve Information sharing to support partnership working, especially for safeguarding, prevention & early intervention.	Green

A: Ensure integrated approaches to the planning & delivery of services - Team Around the Family, Localities, Transforming Social Services for Adults (TSSA), BCUHB Service Review implications, Housing Support, etc.	Orange
B: Promote independent living & well-being (ESVP).	Yellow
C: Develop & action health & social care improvement priorities for our 3 Localities.	Pink, Orange, Yellow, Cyan

A: Ensure that we reduce our carbon footprint.	Pink
B: Future proof our business plans to respond to climate change.	Green

## FLINTSHIRE COUNTY COUNCIL

**REPORT TO:** COMMUNITY PROFILE & PARTNERSHIPS  
OVERVIEW & SCRUTINY COMMITTEE

**DATE:** MONDAY, 15<sup>TH</sup> OCTOBER 2012

**REPORT BY:** MEMBER ENGAGEMENT MANAGER

**SUBJECT:** FORWARD WORK PROGRAMME

### **1.00 PURPOSE OF REPORT**

To advise on the development of the Forward Work Programme for the Committee.

### **2.00 BACKGROUND**

**2.01** Members will be aware that items can feed into a committee's Forward Work Programme from a number of sources. Individual Members can suggest topics for review by Overview & Scrutiny committees; members of the public can suggest topics; items can be referred by the Cabinet for consultation purposes; items can be referred by the County Council, or Directors can request that a committee gives a view on a particular topic.

**2.02** In identifying topics for future consideration, it is useful to apply a 'test of significance'. This can be achieved by asking a range of questions, some of which could come from the following list, which is not intended to be exhaustive:

1. Will the review contribute to the Council's priorities and/or objectives?
2. Are there issues of weak or poor performance?
3. How, where and why were the issues identified?
4. Is there any evidence that local communities think the issues are important and is there any evidence of public dissatisfaction with a particular service?
5. Has there been new Government guidance or legislation?
6. Have inspections been carried out by an Overview & Scrutiny committee or by one of the Council's regulators?
7. Is this area already the subject of an ongoing review of any form?

### **3.00 CONSIDERATIONS**

**3.01** Overview & Scrutiny presents a unique opportunity for Members to determine the Forward Work Programme of the committees of which they are members.

3.02 A copy of the provisional programme is attached at Appendix 1 for Members' consideration.

3.03 As advised at the previous meeting, we are still awaiting Welsh Government guidance on the implementation of s. 59 of the local Government (Wales) Measure 2011 which deals with 'scrutinising designated persons'.

**4.00 RECOMMENDATIONS**

That the committee considers the attached Forward Work Programme (see Appendix 1).

**5.00 FINANCIAL IMPLICATIONS**

None arising directly from this report.

**6.00 ANTI POVERTY IMPACT**

None arising directly from this report.

**7.00 ENVIRONMENTAL IMPACT**

None arising directly from this report.

**8.00 EQUALITIES IMPACT**

None arising directly from this report.

**9.00 PERSONNEL IMPLICATIONS**

None arising directly from this report.

**10.00 CONSULTATION REQUIRED**

Not applicable.

**11.00 CONSULTATION UNDERTAKEN**

Publication of this report constitutes consultation.

**12.00 APPENDICES**

Current Forward Work Programme (Appendix 1)

**LOCAL GOVERNMENT (ACCESS TO INFORMATION ACT) 1985  
BACKGROUND DOCUMENTS**

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**Community Profile & Partnerships Overview & Scrutiny Committee**  
**FORWARD WORK PROGRAMME 2012/13**

**Appendix 1**

<b>DATE OF MEETING</b>	<b>SUBJECT</b>	<b>PURPOSE</b>	<b>RESPONSIBLE / CONTACT OFFICER</b>
Monday 26 <sup>th</sup> November 2012 10.00	Clwyd Theatr Cymru	To provide the committee with the background to the educational work undertaken by the theatre.	Member Engagement Manager
Monday 7 <sup>th</sup> January 2013 10.00	Domestic Abuse Safety Unit  Right to be Safe strategy	To inform the statutory crime & disorder committee, of the work undertaken by the Unit, which is external and its linkage with the Council's Domestic Abuse and Sexual Violence team.	Robert Robins/Sian Jones
Monday 4 <sup>th</sup> February 2013 10.00			
Monday 11 <sup>th</sup> March 2013 10.00			
Monday 22 <sup>nd</sup> April 2013 10.00			

**Community Profile & Partnerships Overview & Scrutiny Committee**  
**FORWARD WORK PROGRAMME 2012/13**

**Appendix 1**

<p>Monday 20<sup>th</sup>          May 2013          10.00</p>			
<p>Items to be          scheduled</p>	<p>North Wales Fire &amp; Rescue Service and Authority          North Wales Police &amp; Crime Commissioner          Deeside College          Glyndwr University          WLGA national overview          LSB          Outcome Agreement          Local Partnership governance arrangements          County Forum and the Charter</p>		